SEC Form 4
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## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre				uer Name <b>and</b> Tick		Symbol GIES INC [ MTX	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
GARTH MATTHEW E							x	Director Officer (give title below)	10% C Other below	(specify			
	(Last) (First) (Middle) 622 THIRD AVENUE 38TH ELOOR			te of Earliest Transa 1/2022	action (Month/		SVP, Finance &	Treasury, & O	CFO				
				mendment, Date of	f Original Filed	(Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) NEW YORK NY 10017						X	Form filed by One Form filed by Mor Person						
(City)	(State)	(Zip)						Feison					
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3) 2. Transa				2A. Deemed	3.	4. Securities Acquired (A)	or	5. Amount of	6. Ownership	7. Nature			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year) 2. Transaction if any (Month/Day/Year) 2. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4) Disposed Of (D) (Instr. 3, 4)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership				
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	01/21/2022		М		2,848	A	\$0.00	12,215	D	
Common Stock	01/21/2022		F		1,526 <sup>(1)</sup>	D	\$71.485	10,689	D	
Common Stock	01/22/2022		М		3,108	A	\$0.00	13,797	D	
Common Stock	01/22/2022		F		1,588(1)	D	\$70.255	12,209	D	
Common Stock								869.601	I	By 401(k) <sup>(2)</sup>

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Derivative Conversion Date		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Deferred Restricted Stock Units (DRSUs)	(3)	01/21/2022		М			2,848	(4)	(4)	Common Stock	2,848	\$0.00	13,813	D	
Deferred Restricted Stock Units (DRSUs)	(3)	01/22/2022		М			3,108	(5)	(5)	Common Stock	3,108	\$0.00	10,705	D	

Explanation of Responses:

1. These shares were withheld by Minerals Technologies Inc. to satisfy tax withholding obligations.

2. The information contained in this report is based on a Plan Statement dated as of January 24, 2022.

3. Each DRSU is the economic equivalent of one share of Mineral Technologies Inc. Common Stock.

4. The DRSUs were granted on January 21, 2020 and vest in three equal annual installments beginning on January 21, 2021.

5. The DRSUs were granted on January 22, 2019 and vest in three equal annual installments beginning on January 22, 2020.

**Remarks:** 

## Thomas Meek for Matthew<br/>Garth01/25/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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