SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
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1. Name and Address Hastings Jona	s of Reporting Person <u>than J</u>	*	2. Issuer Name and Ticker or Trading Symbol <u>MINERALS TECHNOLOGIES INC</u> [MTX]		ionship of Reporting Persor all applicable) Director Officer (give title below)	n(s) to Issuer 10% Owner Other (specify below)	
(Last) (First) (Middle) 622 THIRD AVENUE		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/21/2020		Senior Vice President		
(Street) NEW YORK NY 10017 (City) (State) (Zip)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indivi Line) X	idual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
	Code V		Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock	01/22/2020		М		3,245	Α	\$0.00	35,298	D	
Common Stock	01/22/2020		F		1,411 ⁽¹⁾	D	\$57.385	33,887	D	
Common Stock								1,723.215	Ι	By 401(k) ⁽²⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Numb Derivati Securiti Acquire or Dispo of (D) (II 4 and 5)	ve es d (A) osed nstr. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Employee Stock Option (Right to Buy)	\$57.665	01/21/2020		А		15,371		(3)	01/21/2030	Common Stock	15,371	\$0.00	94,715	D	
Deferred Restricted Stock Units (DRSUs)	(4)	01/21/2020		A		8,949		(5)	(5)	Common Stock	8,949	\$0.00	22,534	D	
Deferred Restricted Stock Units (DRSUs)	(4)	01/22/2020		М			3,245	(6)	(6)	Common Stock	3,245	\$0.00	19,289	D	

Explanation of Responses:

1. These shares were withheld by Minerals Technologies Inc. to satisfy tax withholding obligations.

2. The information contained in this report is based on a Plan Statement dated as of January 17, 2020.

3. These options were granted on January 21, 2020 and vest in three equal annual installments beginning on January 21, 2021.

4. Each DRSU is the economic equivalent of one share of Mineral Technologies Inc. Common Stock.

5. DRSU's were granted on January 21, 2020 and vest in three equal annual installments beginning on January 21, 2021.

6. The DRSUs were granted on January 22, 2019 and vest in three equal annual installments beginning on January 22, 2020.

Remarks:

<u>/s/ Thomas J. Meek for</u> Jonathan Hastings

01/23/2020

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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